



1725  
ZHW

**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**In re Application of:**

Frank L. Hall

**Serial No.:** 09/863,676

**Filed:** May 21, 2001

**For:** METHODS FOR PREPARING BALL  
GRID ARRAY SUBSTRATES VIA USE OF  
A LASER

**Confirmation No.:** 1281

**Examiner:** S. Heinrich

**Group Art Unit:** 1725

**Attorney Docket No.:** 2269-4718US  
(00-0316.00/US)

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence along with any attachments referred to or identified as being attached or enclosed is being deposited with the United States Postal Service as First Class Mail on the date of deposit shown below with sufficient postage and in an envelope addressed to the Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

November 14, 2006  
Date

Signature

Leta M. Howard

Name (Type/Print)

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In compliance with the duty to disclose information material to patentability pursuant to 37 C.F.R. § 1.56, it is respectfully requested that this Supplemental Information Disclosure Statement be entered and the documents listed on attached Form PTO/SB/08 be considered by the Examiner and made of record. Copies of any cited foreign patents, publications, or pending unpublished U.S. applications are enclosed pursuant to 37 C.F.R. § 1.98(a)(2). The listed documents were cited by the Office in co-pending application Serial No. 11/388,735, filed on March 24, 2006, and directed to a related invention.

In accordance with 37 C.F.R. § 1.97(g) and (h), filing of this Supplemental Information Disclosure Statement is not to be construed as a representation that a search has been made or an admission that the information cited herein is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b). Further, no representation is made by Applicant herein that no other possible material information as defined in 37 C.F.R. § 1.56 (b) exists.

U.S. Patent Documents

<u>U.S. Patent No.</u>	<u>Publication Date</u>	<u>Patentee</u>
US - 3,913,216	10/21/1975	Ballonoff
US - 4,514,898	05/07/1985	Przybysz et al.
US - 5,310,624	05/10/1994	Ehrlich
US - 6,437,052 B1	08/20/2002	Iwasa et al.
US - 6,720,522 B2	04/13/2004	Ikegami et al.

Foreign Patent Documents

<u>Document No.</u>	<u>Publication Date</u>	<u>Patentee</u>
JP 360015985A	01/1985	Fujitsu Ltd
JP 360123084A	07/1985	Matsushita Electric
JP 363117421A	05/1988	Nikon Corp
JP 407030121A	01/1995	Sanyo Electric
JP 409325365A	12/1997	Hitachi Ltd
JP 2000012726A	01/2000	Sony Corp

Applicant offers to supply any explanation or discussion of the documents which the Examiner feels is necessary or desirable and which is requested.

This Supplemental Information Disclosure Statement is filed after the mailing date of the first Office Action on the merits.

I hereby certify that no item of information contained in the Supplemental Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of the statement, and therefore no fee is due.

Respectfully submitted,



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Date: November 14, 2006

JRD/dlm:lmh

Enclosures: Form PTO-1449 or PTO/SB/08  
Cited Non-U.S. Patent Documents

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Substitute for form 1449A/PTO <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)				Complete if Known	
				Application Number	09/863,676
				Filing Date	May 21, 2001
				First Named Inventor	Frank L. Hall
				Group Art Unit	1725
				Examiner Name	S. Heinrich
				Attorney Docket Number	2269-4718US (00-0316.00/US)

[illegible]

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known)				
		JP 360015985A	01/1985	Fujitsu Ltd		X
		JP 360123084A	07/1985	Matsushita Electric		X
		JP 363117421A	05/1988	Nikon Corp		X
		JP 407030121A	01/1995	Sanyo Electric		X
		JP 409325365A	12/1997	Hitachi Ltd		X
		JP 2000012726A	01/2000	Sony Corp		X

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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